

## INVESTORS COMPLAINTS DATA BY REGISTERED MERCHANT BANKER (DEBT)

### ANNEXURE - 'B'

Data for the month ending – From September 01, 2023 to December 31, 2023

Sr. No	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Nil
2	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Nil
3	Stock Exchanges (if relevant)	Nil	Nil	Nil	Nil	Nil	Nil
4	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Nil
	<b>Grand Total</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

#### Trend of monthly disposal of complaints for the Financial Year:

S. No	Months	Carried forward from previous month	Received during the particular month	Resolved during the particular month*	Pending at the end of the particular month#
1	April-2023	Nil	Nil	Nil	Nil
2	May-2023	Nil	Nil	Nil	Nil
3	June-2023	Nil	Nil	Nil	Nil
4	July-2023	Nil	Nil	Nil	Nil
5	August-2023	Nil	Nil	Nil	Nil
6	September-2023	Nil	Nil	Nil	Nil
7	October-2023	Nil	Nil	Nil	Nil
8	November-2023	Nil	Nil	Nil	Nil
9	December-2023	Nil	Nil	Nil	Nil
10	January-2024	Will be updated as and when applicable			
11	February-2024				
12	March-2024				
	<b>Grant Total</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>

\* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month

#### Trend of annual (Financial year) disposal of complaints (For 3 years on rolling basis) –

Sr. No	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2022-23*	Nil	Nil	Nil	Nil
2	2023-24				
	<b>Grand Total</b>				

\*Merchant Banker registration allotted on March 24, 2023.

**INVESTORS COMPLAINTS DATA BY REGISTERED MERCHANT BANKER (IPO, FPO & OES)**

**ANNEXURE - 'B'**

**Data for the month ending – From September 01, 2023 to December 31, 2023**

Sr No	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
<b>5</b>	<b>Grand Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

**Trend of monthly disposal of complaints (For 5 months on rolling basis)-**

Sr. No	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	April-2023	0	0	0	0
2	May-2023	0	0	0	0
3	June-2023	0	0	0	0
4	July-2023	0	0	0	0
5	August-2023	0	0	0	0
6	September 2023	0	0	0	0
7	October 2023	0	0	0	0
8	November 2023	0	0	0	0
9	December 2023	0	0	0	0
	<b>Grand Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

\* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month

**Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis) –**

Sr. No	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2023*	0	0	0	0
2	2024	0	0	0	0
3	2025	Will be updated as and when applicable			
4	2026				
5	2027				
<b>Grant Total</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

\*Merchant Banker registration allotted on March 24, 2023.

## INVESTORS COMPLAINTS DATA BY REGISTERED MERCHANT BANKER (RIGHT ISSUE)

### ANNEXURE - 'B'

**Data for the month ending – From September 01, 2023 to December 31, 2023**

Sr No	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
<b>5</b>	<b>Grand Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

#### **Trend of monthly disposal of complaints (For 5 months on rolling basis)-**

Sr. No	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	April-2023	0	0	0	0
2	May-2023	0	0	0	0
3	June-2023	0	0	0	0
4	July-2023	0	0	0	0
5	August-2023	0	0	0	0
6	September 2023	0	0	0	0
7	October 2023	0	0	0	0
8	November 2023	0	0	0	0
9	December 2023	0	0	0	0
	<b>Grand Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

\* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month

#### **Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis) –**

Sr. No	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2023*	0	0	0	0
2	2024	0	0	0	0
3	2025	Will be updated as and when applicable			
4	2026				
5	2027				
	<b>Grant Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

\*Merchant Banker registration allotted on March 24, 2023.

**INVESTORS COMPLAINTS DATA BY REGISTERED MERCHANT BANKER (PREFERENTIAL ISSUE)**

**ANNEXURE - 'B'**

**Data for the month ending – From September 01, 2023 to December 31, 2023**

Sr No	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
<b>5</b>	<b>Grand Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

**Trend of monthly disposal of complaints (For 5 months on rolling basis)-**

Sr. No	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	April-2023	0	0	0	0
2	May-2023	0	0	0	0
3	June-2023	0	0	0	0
4	July-2023	0	0	0	0
5	August-2023	0	0	0	0
6	September 2023	0	0	0	0
7	October 2023	0	0	0	0
8	November 2023	0	0	0	0
9	December 2023	0	0	0	0
	<b>Grand Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

\* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month

**Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis) –**

Sr. No	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2023*	0	0	0	0
2	2024	0	0	0	0
3	2025	Will be updated as and when applicable			
4	2026				
5	2027				
<b>Grant Total</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

\*Merchant Banker registration allotted on March 24, 2023.

## INVESTORS COMPLAINTS DATA BY REGISTERED MERCHANT BANKER (OIP)

### ANNEXURE - 'B'

**Data for the month ending – From September 01, 2023 to December 31, 2023**

Sr No	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
<b>5</b>	<b>Grand Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

### Trend of monthly disposal of complaints (For 5 months on rolling basis)-

Sr. No	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	April-2023	0	0	0	0
2	May-2023	0	0	0	0
3	June-2023	0	0	0	0
4	July-2023	0	0	0	0
5	August-2023	0	0	0	0
6	September 2023	0	0	0	0
7	October 2023	0	0	0	0
8	November 2023	0	0	0	0
9	December 2023	0	0	0	0
	<b>Grand Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

\* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month

### Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis) –

Sr. No	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2023*	0	0	0	0
2	2024	0	0	0	0
3	2025	Will be updated as and when applicable			
4	2026				
5	2027				
<b>Grand Total</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

\*Merchant Banker registration allotted on March 24, 2023.

## INVESTORS COMPLAINTS DATA BY REGISTERED MERCHANT BANKER (TAKEOVER)

### ANNEXURE - 'B'

**Data for the month ending – From September 01, 2023 to December 31, 2023**

Sr No	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
<b>5</b>	<b>Grand Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

### Trend of monthly disposal of complaints (For 5 months on rolling basis)-

Sr. No	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	April-2023	0	0	0	0
2	May-2023	0	0	0	0
3	June-2023	0	0	0	0
4	July-2023	0	0	0	0
5	August-2023	0	0	0	0
6	September 2023	0	0	0	0
7	October 2023	0	0	0	0
8	November 2023	0	0	0	0
9	December 2023	0	0	0	0
	<b>Grand Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

\* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month

### Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis) –

Sr. No	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2023*	0	0	0	0
2	2024	0	0	0	0
3	2025	Will be updated as and when applicable			
4	2026				
5	2027				
<b>Grand Total</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

\*Merchant Banker registration allotted on March 24, 2023.

## INVESTORS COMPLAINTS DATA BY REGISTERED MERCHANT BANKER (DELISTING)

### ANNEXURE - 'B'

**Data for the month ending – From September 01, 2023 to December 31, 2023**

Sr No	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
<b>5</b>	<b>Grand Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

#### Trend of monthly disposal of complaints (For 5 months on rolling basis)-

Sr. No	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	April-2023	0	0	0	0
2	May-2023	0	0	0	0
3	June-2023	0	0	0	0
4	July-2023	0	0	0	0
5	August-2023	0	0	0	0
6	September 2023	0	0	0	0
7	October 2023	0	0	0	0
8	November 2023	0	0	0	0
9	December 2023	0	0	0	0
	<b>Grand Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

\* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month

#### Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis) –

Sr. No	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2023*	0	0	0	0
2	2024	0	0	0	0
3	2025	Will be updated as and when applicable			
4	2026				
5	2027				
<b>Grant Total</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

\*Merchant Banker registration allotted on March 24, 2023.

## INVESTORS COMPLAINTS DATA BY REGISTERED MERCHANT BANKER (BUYBACK)

### ANNEXURE - 'B'

**Data for the month ending – From September 01, 2023 to December 31, 2023**

Sr No	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (ifrelevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
<b>5</b>	<b>Grand Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

### Trend of monthly disposal of complaints (For 5 months on rolling basis)-

Sr. No	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	April-2023	0	0	0	0
2	May-2023	0	0	0	0
3	June-2023	0	0	0	0
4	July-2023	0	0	0	0
5	August-2023	0	0	0	0
6	September 2023	0	0	0	0
7	October 2023	0	0	0	0
8	November 2023	0	0	0	0
9	December 2023	0	0	0	0
	<b>Grand Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

\* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month

### Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis) –

Sr. No	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2023*	0	0	0	0
2	2024	0	0	0	0
3	2025	Will be updated as and when applicable			
4	2026				
5	2027				
<b>Grant Total</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

\*Merchant Banker registration allotted on March 24, 2023.



## INVESTORSCOMPLAINTS DATA BY REGISTERED MERCHANT BANKER (SME IPO, FPO & OES)

### ANNEXURE - 'B'

**Data for the month ending – From September 01, 2023 to December 31, 2023**

Sr No	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
<b>5</b>	<b>Grand Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

#### Trend of monthly disposal of complaints (For 5 months on rolling basis)-

Sr. No	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	April-2023	0	0	0	0
2	May-2023	0	0	0	0
3	June-2023	0	0	0	0
4	July-2023	0	0	0	0
5	August-2023	0	0	0	0
6	September 2023	0	0	0	0
7	October 2023	0	0	0	0
8	November 2023	0	0	0	0
9	December 2023	0	0	0	0
	<b>Grand Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

\* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month

#### Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis) –

Sr. No	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2023*	0	0	0	0
2	2024	0	0	0	0
3	2025	Will be updated as and when applicable			
4	2026				
5	2027				
<b>Grant Total</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

\*Merchant Banker registration allotted on March 24, 2023.

**INVESTORS COMPLAINTS DATA BY REGISTERED MERCHANT BANKER (CONSOLIDATED FILE)**

**ANNEXURE - 'B'**

**Data for the month ending – From September 01, 2023 to December 31, 2023**

Sr No	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
<b>5</b>	<b>Grand Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

**Trend of monthly disposal of complaints (For 5 months on rolling basis)-**

Sr. No	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	April-2023	0	0	0	0
2	May-2023	0	0	0	0
3	June-2023	0	0	0	0
4	July-2023	0	0	0	0
5	August-2023	0	0	0	0
6	September 2023	0	0	0	0
7	October 2023	0	0	0	0
8	November 2023	0	0	0	0
9	December 2023	0	0	0	0
	<b>Grand Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

\* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month

**Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis) –**

Sr. No	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2023*	0	0	0	0
2	2024	0	0	0	0
3	2025	Will be updated as and when applicable			
4	2026				
5	2027				
<b>Grant Total</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

\*Merchant Banker registration allotted on March 24, 2023.

**INVESTORS COMPLAINTS DATA BY REGISTERED MERCHANT BANKER (MUNICIPAL DEBT SECURITIES)**

**ANNEXURE - 'B'**

**Data for the month ending – From September 01, 2023 to December 31, 2023**

Sr No	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
<b>5</b>	<b>Grand Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

**Trend of monthly disposal of complaints (For 5 months on rolling basis)-**

Sr. No	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	April-2023	0	0	0	0
2	May-2023	0	0	0	0
3	June-2023	0	0	0	0
4	July-2023	0	0	0	0
5	August-2023	0	0	0	0
6	September 2023	0	0	0	0
7	October 2023	0	0	0	0
8	November 2023	0	0	0	0
9	December 2023	0	0	0	0
	<b>Grand Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

\* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month

**Last 3 Years Trend:**

Sr. No	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2023*	0	0	0	0
2	2024	0	0	0	0
	<b>Grant Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

\*Merchant Banker registration allotted on March 24, 2023.

**INVESTORS COMPLAINTS DATA BY REGISTERED MERCHANT BANKER**  
**(PRIVATE PLACEMENT OF NON -CONVERTIBLE SECURITIES)**

**ANNEXURE - 'B'**

**Data for the month ending – From September 01, 2023 to December 31, 2023**

Sr No	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
<b>5</b>	<b>Grand Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

**Trend of monthly disposal of complaints (For 5 months on rolling basis)-**

Sr. No	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	April-2023	0	0	0	0
2	May-2023	0	0	0	0
3	June-2023	0	0	0	0
4	July-2023	0	0	0	0
5	August-2023	0	0	0	0
6	September 2023	0	0	0	0
7	October 2023	0	0	0	0
8	November 2023	0	0	0	0
9	December 2023	0	0	0	0
	<b>Grand Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

\* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month

**Trend of annual (Calendar year) disposal of complaints (For 3 years on rolling basis) –**

Sr. No	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2023*	0	0	0	0
2	2024	0	0	0	0
	<b>Grant Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

\*Merchant Banker registration allotted on March 24, 2023.

## PUBLIC ISSUE OF NON-CONVERTIBLE REDEEMABLE PREFERENCE SHARES

### ANNEXURE - 'B'

**Data for the month ending – From September 01, 2023 to December 31, 2023**

Sr No	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
<b>5</b>	<b>Grand Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

**Trend of monthly disposal of complaints (For 5 months on rolling basis)-**

Sr. No	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	April-2023	0	0	0	0
2	May-2023	0	0	0	0
3	June-2023	0	0	0	0
4	July-2023	0	0	0	0
5	August-2023	0	0	0	0
6	September 2023	0	0	0	0
7	October 2023	0	0	0	0
8	November 2023	0	0	0	0
9	December 2023	0	0	0	0
	<b>Grand Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

\* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month

**Trend of annual (Calendar year) disposal of complaints (For 3 years on rolling basis) –**

Sr. No	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2023*	0	0	0	0
2	2024	0	0	0	0
	<b>Grant Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

\*Merchant Banker registration allotted on March 24, 2023.

## INVESTORS COMPLAINTS DATA BY REGISTERED MERCHANT BANKER (PUBLIC ISSUE OF REIT)

### ANNEXURE - 'B'

**Data for the month ending – From September 01, 2023 to December 31, 2023**

Sr No	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
<b>5</b>	<b>Grand Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

### Trend of monthly disposal of complaints (For 5 months on rolling basis)-

Sr. No	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	April-2023	0	0	0	0
2	May-2023	0	0	0	0
3	June-2023	0	0	0	0
4	July-2023	0	0	0	0
5	August-2023	0	0	0	0
6	September 2023	0	0	0	0
7	October 2023	0	0	0	0
8	November 2023	0	0	0	0
9	December 2023	0	0	0	0
	<b>Grand Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

\* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month

### Last 3 Years Trend:

Sr. No	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2023*	0	0	0	0
2	2024	0	0	0	0
	<b>Grant Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

\*Merchant Banker registration allotted on March 24, 2023.

## **INVESTORS COMPLAINTS DATA BY REGISTERED MERCHANT BANKER (PUBLIC ISSUE OF INVIT)**

### **ANNEXURE - 'B'**

**Data for the month ending – From September 01, 2023 to December 31, 2023**

Sr No	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
<b>5</b>	<b>Grand Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

### **Trend of monthly disposal of complaints (For 5 months on rolling basis)-**

Sr. No	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	April-2023	0	0	0	0
2	May-2023	0	0	0	0
3	June-2023	0	0	0	0
4	July-2023	0	0	0	0
5	August-2023	0	0	0	0
6	September 2023	0	0	0	0
7	October 2023	0	0	0	0
8	November 2023	0	0	0	0
9	December 2023	0	0	0	0
	<b>Grand Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

\* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month

### **Last 3 Years trend:**

Sr. No	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2023*	0	0	0	0
2	2024	0	0	0	0
	<b>Grant Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

\*Merchant Banker registration allotted on March 24, 2023.

## **INVESTORS COMPLAINTS DATA BY REGISTERED MERCHANT BANKER (CONSOLIDATED FILE)**

### **ANNEXURE - 'B'**

**Data for the month ending – From September 01, 2023 to December 31, 2023**

Sr No	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
<b>5</b>	<b>Grand Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

### **Trend of monthly disposal of complaints (For 5 months on rolling basis)-**

Sr. No	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	April-2023	0	0	0	0
2	May-2023	0	0	0	0
3	June-2023	0	0	0	0
4	July-2023	0	0	0	0
5	August-2023	0	0	0	0
6	September 2023	0	0	0	0
7	October 2023	0	0	0	0
8	November 2023	0	0	0	0
9	December 2023	0	0	0	0
	<b>Grand Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

\* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month

### **Last 3 Years Trend:**

Sr. No	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2023*	0	0	0	0
2	2024	0	0	0	0
	<b>Grant Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

\*Merchant Banker registration allotted on March 24, 2023.



**INVESTORS COMPLAINTS DATA BY REGISTERED MERCHANT BANKER (PRIVATE PLACEMENT OF REIT)**

**ANNEXURE - 'B'**

**Data for the month ending – From September 01, 2023 to December 31, 2023**

Sr No	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
<b>5</b>	<b>Grand Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

**Trend of monthly disposal of complaints (For 5 months on rolling basis)-**

Sr. No	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	April-2023	0	0	0	0
2	May-2023	0	0	0	0
3	June-2023	0	0	0	0
4	July-2023	0	0	0	0
5	August-2023	0	0	0	0
6	September 2023	0	0	0	0
7	October 2023	0	0	0	0
8	November 2023	0	0	0	0
9	December 2023	0	0	0	0
	<b>Grand Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

\* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month

**Last 3 Years trend:**

Sr. No	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2023*	0	0	0	0
2	2024	0	0	0	0
	<b>Grant Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

\*Merchant Banker registration allotted on March 24, 2023.

## INVESTORS COMPLAINTS DATA BY REGISTERED MERCHANT BANKER (PRIVATE PLACEMENT OF REIT)

### ANNEXURE - 'B'

**Data for the month ending – From September 01, 2023 to December 31, 2023**

Sr No	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
<b>5</b>	<b>Grand Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

### Trend of monthly disposal of complaints (For 5 months on rolling basis)-

Sr. No	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	April-2023	0	0	0	0
2	May-2023	0	0	0	0
3	June-2023	0	0	0	0
4	July-2023	0	0	0	0
5	August-2023	0	0	0	0
6	September 2023	0	0	0	0
7	October 2023	0	0	0	0
8	November 2023	0	0	0	0
9	December 2023	0	0	0	0
	<b>Grand Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

\* Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month

### Last 3 Years Trend:

Sr. No	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2023*	0	0	0	0
2	2024	0	0	0	0
	<b>Grant Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

\* Merchant Banker registration allotted on March 24, 2023.

## INVESTORSCOMPLAINTS DATA BY REGISTERED MERCHANT BANKER (CONSOLIDATED FILE)

### ANNEXURE - 'B'

**Data for the month ending – From September 01, 2023 to December 31, 2023**

Sr No	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
<b>5</b>	<b>Grand Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

### **Trend of monthly disposal of complaints (For 5 months on rolling basis)-**

Sr. No	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	April-2023	0	0	0	0
2	May-2023	0	0	0	0
3	June-2023	0	0	0	0
4	July-2023	0	0	0	0
5	August-2023	0	0	0	0
6	September 2023	0	0	0	0
7	October 2023	0	0	0	0
8	November 2023	0	0	0	0
9	December 2023	0	0	0	0
	<b>Grand Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

\* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month

### **Last 3 Years Trend:**

Sr. No	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2023*	0	0	0	0
2	2024	0	0	0	0
	<b>Grant Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

\*Merchant Banker registration allotted on March 24, 2023.